

Title of the report/ deliverable: Intermediary Report 3

Main authors (max. 5): Dr. Michael Ochsner

Date of the release: 10. September 2019

WG to which the report/ deliverable is related: WG1

Grant period to which the report/ deliverable is related: GP3/4 (1.02.2019-10.09.2019)

Participants of WG1

Currently, there are 79 participants subscribed to Work Group 1. 2 participants joined since the last intermediary report. 51 participants chose WG1 as their main WG. Regarding country representation, 33 countries are present in WG1.

Activities and achievements since the last intermediary report

In the seven months since the last intermediary report, the focus has been on two major deliverables, the reports on peer review practices (published interim report) and on national evaluation systems (internal working document with 17 country reports). Furthermore, the preparation of the final deliverable, the policy brief “better adapted approaches to research evaluation in the SSH” has started and a few new projects have been launched. The focus now is shifting on consolidating data that has been generated during the last years, bringing together results from the different strands of work and on dissemination, including several presentations and a testimonial video for the Training School in Vilnius. The preparation of the RESSH conference in Valencia where many research projects related to WG1 (and ENRESSH in general) will be presented has been an important activity as well.

Two STSMs have been conducted in February, one related to the Peer Review report, the other on the topic on ethics of research evaluation.

Main deliverables: Reports

Overview of Peer Review Practices in the SSH

The main focus of the time period from February to August has been the report “Overview of Peer Review Practices in the SSH”. An STSM has been conducted with Tony Ross-Hellauer visiting Gemma Derrick at Lancaster university, resulting in the chapter “Peer Review in SSH: In Need of Development?” for the ENRESSH report. An interim version of the report has been published on the website in summer 2019. First versions of all chapters of the report have been submitted in March 2019. All chapters went through internal peer review and were revised or are being revised. Due to the high number of chapters, it was decided to take a stepwise editing process. Therefore, a few general chapters that nevertheless give a good overview of the topics covered in the final report were selected to be published as an interim report in summer. The full report is scheduled for

publication by the end of 2019. The seven chapters selected for the interim report were edited to a common style and format.

Kancewicz-Hoffman, N., Hołowiecki, M., Holm, J., & Ochsner, M. (Eds.) (2019). *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report. Retrieved from <https://enressh.eu/report-on-peer-review-practices-in-the-ssh/>

It includes the following chapters:

- Kancewicz-Hoffman, N., & Ochsner, M. (2019). Introduction: Aim and Scope of the Report. In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 5–7).
- Derrick, G., & Ross-Hellauer, T. (2019). Peer Review in SSH: In Need of Development? In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 8–13).
- Ochsner, M. (2019). Evaluation Criteria and Methodology In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 13–20).
- Pölonen, J., Engels, T. C. E., & Guns, R. (2019). Ambiguity in identification of scholarly peer-reviewed publications In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 20–24).
- Vanholsbeeck, M., & Lendák-Kabók, K. (2019). Peer review in the context of the new modes of knowledge production, dissemination and evaluation. In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 25–27).
- Vanholsbeeck, M. (2019). The Perception of Senior Sociologists Towards Peer Reviewing in the Context of the Current Changes in the SSH Assessment Systems. In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 27–32).
- Kancewicz-Hoffman, N., Holm, J., Hołowiecki, M. & Ochsner, M. (2019). Conclusion. In N. Kancewicz-Hoffman, M. Hołowiecki, J. Holm & M. Ochsner (eds.), *Overview of Peer Review Practices in the SSH*. An ENRESSH Interim Report (pp. 32–33).

Overview of national evaluation systems

The second report taking up much of the time of Work Group 1 is the report on National Evaluation Systems. For the ENRESSH Meeting in Podgorica, an internal working report was circulated among WG1 members containing all the country reports on national research evaluation systems that were submitted until end of February. The 190 pages strong document contains information collected according to a common structure by country rapporteurs of 18 countries, i.e., BA, BE (Wallonia-Bruxelles Federation), CH, CY, CZ, FI, FR, IE, IL, IT, LT, LV, NG, PL, PT, RO, SI, UK.

The discussions in Podgorica showed, however, that different notions of what is national and institutional evaluation guided the data collection and thus the content of the report. It became clear that research evaluation systems are much more complex and diverse than assumed and discussed

before. Therefore, it was decided that before going a step further and harmonising the data of the country reports, an inventory of evaluation procedures will be conducted. In April/May, the data for the inventory were collected and, indeed, many countries have complex systems consisting of many procedures in place. For the meeting in Valencia in autumn, template tables were conceived listing the evaluation procedures along with the dimensions according to which country rapporteurs should report on the mentioned procedures.

New Research Activities of Work Group 1

Ethics of Research Evaluation

An STSM has been conducted in February, in which Aldis Gedutis visited Maria Teresa Biagetti to investigate the ethics of research evaluation. Its results were presented at the ENRESSH meeting in Podgorica. The authors show that there is no ethics of research evaluation. Whereas inspiration can be drawn from ethics of evaluation and research ethics, an ethics of research evaluation needs yet to be developed. The results of the work will be presented at the RESSH conference in Valencia. A second STSM to further delve into this important topic has been submitted and will take place in autumn 2019, adding Lai Ma to the team.

The Role of Learned Societies

Based on a project from Finland, a new ENRESSH project was started at the meeting in Podgorica investigating what is the role of learned societies with regard to research evaluation, publication and societal impact. In times of technological change and open access, there are many opportunities and challenges for learned societies. An international questionnaire project has been started to survey learned societies about how they perceive their role in research evaluation, dissemination, societal impact and open science and how they are actively taking position on these issues. After the meeting, the questionnaire has been adapted from the Finnish situation to an international context and project members have been brought together to identify the learned societies in different countries. The survey is planned to be launched in autumn.

Dissemination activities

Members of Work Group 1 have been active in disseminating ENRESSH activities and results among different audiences using scientific publications as well as presentations.

National research evaluation systems

Marc Vanholsbeeck presented the project and some preliminary results of the national research evaluation systems project with regard to the Belgian situation at the Belgian ENRESSH day:

Vanholsbeeck, M. (2019). The importance of impact and its implications for evaluation of SSH: Country Reports National Evaluation Systems. *Belgian ENRESSH Day*, Université Saint-Louis, Brussels, Belgium, 30.04.2019.

Michael Ochsner was invited to give a keynote speech on this topic at the KNOWSCIENCE workshop at the university of Lund.

Ochsner, M. (2019). National Research Evaluation Systems, Research Quality and the SSH. Keynote for the *KNOWSCIENCE Workshop 2019*, Lund University, Lund, Sweden, 21.03.2019.

Ginevra Peruginelli gave a presentation on this topic with a special focus on the case of Italy and law studies at LexUM in Montréal:

Peruginelli, G. (2019). Research evaluation in legal science in Italy. *Presentation at LexUM*, Montréal, Canada, 26.06.2019.

Ginevra Peruginelli published a chapter on the evaluation of legal publications in Italy in a book dedicated to the evaluation of law studies edited by Rob van Gestel and Andreas Lienhard. Karin Byland was involved in the chapter on the Swiss case in the same book. Both chapters are not a result of ENRESSH itself, but the projects are linked together as the collaboration between the editors and ENRESSH WG1 predates ENRESSH (Karin Byland came to ENRESSH as a team member of Andreas Lienhard).

Peruginelli, G. (2019). Evaluation of academic legal publications in Italy. In R. van Gestel and A. Lienhard (eds.), *Evaluating Academic Legal Research in Europe: The Advantage of Lagging Behind* (pp. 238-264). Cheltenham: Edward Elgar Publishing.

Lienhard, A., Byland, K., & Schmied, M. (2019). Evaluation of academic legal publications in Switzerland. In R. van Gestel and A. Lienhard (eds.), *Evaluating Academic Legal Research in Europe: The Advantage of Lagging Behind* (pp. 142–169). Cheltenham: Edward Elgar Publishing.

General dissemination of ENRESSH results, including but not limited to WG1 activities

Marc Vanholsbeeck reported on the WG1 project on attitudes towards evaluation and impact of senior academics at the Belgian ENRESSH day.

Vanholsbeeck, M. (2019). The importance of impact and its implications for evaluation of SSH: Senior academics as key negotiators in the implementation of impact policies in the social sciences and humanities. *Belgian ENRESSH Day*, Université Saint-Louis, Brussels, Belgium, 30.04.2019.

A paper on this topic was published in the *fteval* Journal in the issue on the “Proceedings of the conference ‘Impact of Social sciences and Humanities for a European Research Agenda Valuation of SSH in mission-oriented research’”.

Vanholsbeeck, M., Demetriou, Th., Girkontaite, A., Istenic Starcic, A., Keiski, V., Kulczycki, E., Papanastasiou, E., Pölönen, J., Proppe, H., & Vehovec, M. (2019). Senior Academics as Key Negotiators in the Implementation of Impact Policies in the Social Sciences and Humanities. *fteval. Journal for Research and Technology Policy Evaluation*, 48, 72-79.

Michael Ochsner was invited for a presentation of conceptual aspects of societal impact and its evaluation at the public workshop of the General Assembly of the Swiss Academy of the Humanities and Social Sciences in Bern, Switzerland, where he presented some findings of WG1 research about evaluation criteria, national evaluation systems and conceptual issues of societal impact assessment.

Ochsner, M. (2019). Societal Impact als Gegenstand der Forschungsevaluation. Presentation at the *Public Workshop of the General Assembly of the Swiss Academy of Humanities and Social Sciences (SAGW)*. University of Berne, Berne, Switzerland, 24.05.2019.

Dejan Pajić published an article in which he and his co-authors reflect on the shortcomings of the current evaluation practice in Serbia and mentioned some findings from ENRESSH WG1 and WG3 and explicitly cite the Prague Manifesto.



Pajić, D., Jevremov, T., & Škorić, M. (2019). Publication and Citation Patterns in the Social Sciences and Humanities: A National Perspective. *Canadian Journal of Sociology*, 44(1), 67–94. <http://doi.org/10.29173/cjs29214>

Based on his paper prepared for the Swiss Science Council on Open Access and research evaluation, Michael Ochsner was asked for an interview reflecting upon the Factsheet on Open Science published by Swiss Academies. The resulting video was published to promote the Fact Sheet and can be viewed under the following link: <https://www.youtube.com/watch?v=mpsGvaahfAo>

Finally, a testimonial video for the Training School “Evaluation procedures and their impact on SSH careers” in Vilnius in collaboration with the SIG ECI has been produced. Greta Belagurova recorded testimonials and provided a ten minutes footage of teachers’ and participants’ experiences. In collaboration with Stefan de Jong and Michael Ochsner the footage was cut down to less than four minutes and Greta provided the final cut and production of the video that is streamable on the website of the Training School and on youtube: <https://youtu.be/62NfmYyGO-s>