# the politics of un/naming in the evaluation process

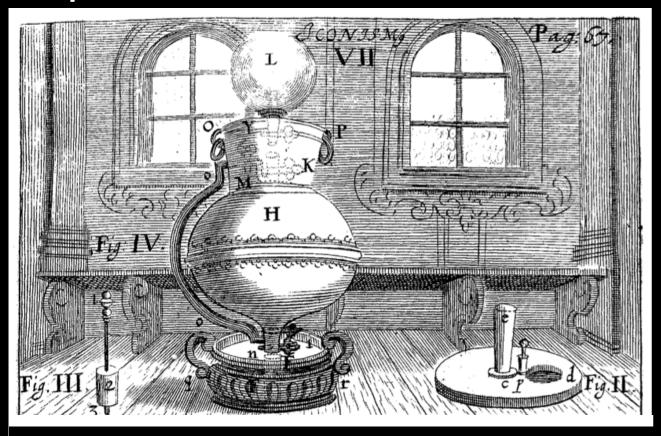
### david pontille & didier torny

Centre de Sociologie de l'Innovation



### names in science

#### experimentation



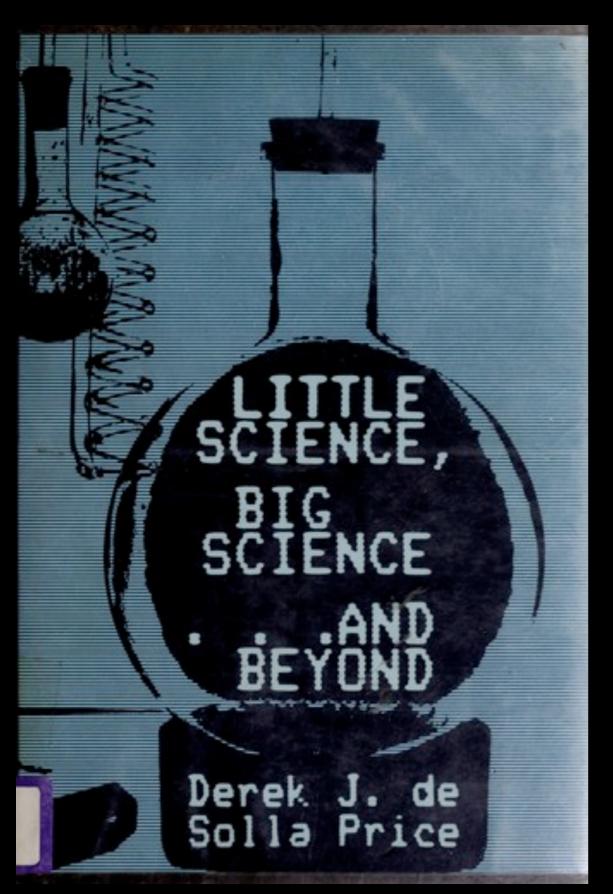
#### publication

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Whereas I \_\_\_\_\_\_ being now in ye service of Mr. \_\_\_\_\_ he is pleas'd to imploy me about ye making of divers Expts yt he would not haue to be divulg'd; I do hereby solemnly & faithfully promise & ingage myself yt I wil be true to ye trust repos'd by my sayd master in me, yt I wil not knowingly discouer to any p[er]son w[ha]tsoever, whether directly or indirectly, any process, medicine, or other Expt, wch he shal injoin me to keep secret & not impart; wthout his consent first obtain'd to communicate it. And this I promise in ye faith of a Xtian, witnes my hand this \_\_\_\_\_ day of \_\_\_\_. 148

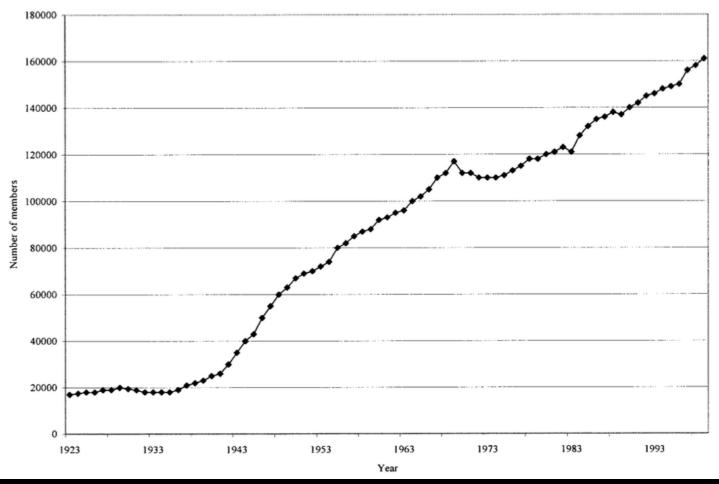
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# big science and authorship



| Partager   | Size of Author-Set                 |                                    |                               |                                       |  |  |
|--|------------------------------------|------------------------------------|-------------------------------|---------------------------------------|--|--|
|  | 2                                  | 3                                  | 4                             | 5                                     | 6+                                     | All  |
| Physics: Laureates Abstracts Chemistry: Laureates  | 71 (398)<br>64(1,410)<br>52 (638)  | 55 (147)<br>38 (643)<br>17 (337)   | 63 (58)<br>35(142)<br>12(119) | 54 (46)<br>39 (38)<br>6 (49)          | 83 (46)<br>26 (34)<br>11 (35)          | 67 (695)<br>57 (2,267)<br>35 (1,178)                 |
| Abstracts Biological sciences: Laureates Abstracts | 55(3,519)<br>47 (750)<br>55(2,802) | 25(1,162)<br>19 (380)<br>22(1,061) | 14(305)<br>4(186)<br>10(347)  | 6 (49)<br>14 (81)<br>1(100)<br>2(112) | 11 (35)<br>12 (58)<br>1 (78)<br>6 (63) | 35 (1,178)<br>45 (5,125)<br>29 (1,494)<br>41 (4,385) |
| All: Laureates Abstracts                           | 54(1,786)<br>57(7,731)             | 24 (864)<br>27(2,866)              | 16(363)<br>16(794)            | 15(195)<br>12(231)                    | 27(159)<br>13(155)                     | 39 (3,367)<br>46(11,777)                             |

#### (Zuckerman 1968)



(Cronin, Shaw, LaBarre 2004)

#### names and citation counts

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LYDIA L. LANGE

Scientometrics (2014) 101:125–158 DOI 10.1007/s11192-014-1423-3

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Lorna Wildgaard · Jesper W. Schneider · Birger Larsen

# names as "units"



# authorship in SSH



ASR December 2009

Volume 74, Number 6 \* December 2009

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Physics Letters B 740 (2015) 222-242



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Search for  $H \rightarrow \gamma \gamma$  produced in association with top quarks and constraints on the Yukawa coupling between the top quark and the Higgs boson using data taken at 7 TeV and 8 TeV with the ATLAS detector



ATLAS Collaboration \*

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<sup>&</sup>lt;sup>1</sup> For simplicity, tH refers equally to  $\bar{t}H$  in this Letter.

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# Initial sequencing and comparative analysis of the mouse genome

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Fulton<sup>1</sup>\*, Robert S. Fulton<sup>1</sup>, Terrence S. Furey<sup>10</sup>\*, Diane Gage<sup>2</sup>, Richard A. Gibbs<sup>28</sup>, Gustavo Glusman<sup>29</sup>\*, Sante Gnerre<sup>2\*</sup>, Nick Goldman<sup>3\*</sup>, Leo Goodstadt<sup>23\*</sup>, Darren Grafham<sup>4</sup>, Tina A. Graves<sup>1</sup>, Eric D. Green<sup>30\*</sup>, Simon Gregory<sup>4\*</sup>, Roderic Guigó<sup>5\*</sup>, Mark Guyer<sup>20</sup>, Ross C. Hardison<sup>31</sup>\*, David Haussler<sup>32</sup>\*, Yoshihide Hayashizaki<sup>17</sup>, LaDeana W. Hillier<sup>1</sup>\*, Angela Hinrichs<sup>10</sup>\*, Wratko Hlavina<sup>7</sup>\*, Timothy Holzer<sup>2</sup>, Fan Hsu<sup>10</sup>\*, Axin Hua<sup>33</sup>, Tim Hubbard<sup>4</sup>\*, Adrienne Hunt<sup>4</sup>, Ian Jackson<sup>12</sup>, David B. Jaffe<sup>2</sup>\*, L. Steven Johnson<sup>25</sup>, Matthew Jones<sup>4</sup>, Thomas A. Jones<sup>25</sup>, Ann Joy<sup>4</sup>, Michael Kamal<sup>2</sup>\*, Elinor K. Karlsson<sup>2</sup>\*, Donna Karolchik<sup>10</sup>\*, Arkadiusz Kasprzyk<sup>3</sup>\*, Jun Kawai<sup>17</sup>, Evan Keibler<sup>14</sup>\*, Cristyn Kells², W. James Kent<sup>10</sup>\*, Andrew Kirby²\*, Diana L. Kolbe<sup>26</sup>\*, Ian Korf<sup>14</sup>\*, Raju S. Kucherlapati<sup>34</sup>, Edward J. Kulbokas III<sup>2\*</sup>, David Kulp<sup>18\*</sup>, Tom Landers<sup>2</sup>, J. P. Leger<sup>2</sup>, Steven Leonard<sup>4</sup>, Ivica Letunic<sup>11\*</sup>, Rosie Levine<sup>2</sup>, Jia Li<sup>35\*</sup>, Ming Li<sup>36\*</sup>, Christine Lloyd<sup>4</sup>, Susan Lucas<sup>37</sup>, Bin Ma<sup>38</sup>\*, Donna R. Maglott<sup>7</sup>\*, Elaine R. Mardis<sup>1</sup>, Lucy Matthews<sup>4</sup>, Evan Mauceli<sup>2</sup>\*, John H. Mayer<sup>2</sup>, Megan McCarthy<sup>2</sup>, W. Richard McCombie<sup>39</sup>, Stuart McLaren<sup>4</sup>, Kirsten McLay<sup>4</sup>, John D. McPherson<sup>1</sup>, Jim Meldrim<sup>2</sup>, Beverley Meredith<sup>4</sup>, Jill P. Mesirov<sup>2</sup>\*, Webb Miller<sup>27</sup>\*, Tracie L. Miner¹, Emmanuel Mongin³, Kate T. Montgomery³4, Michael Morgan⁴º, Richard Mott²¹\*, James C. Mullikin4\*, Donna M. Muzny28, William E. Nash1, Joanne O. Nelson1, Michael N. Nhan1, Robert Nicol2, Zemin Ning4\*, Chad Nusbaum2, Michael J. O'Connor27, Yasushi Okazaki17, Karen Oliver4, Emma Overton-Larty4, Lior Pachter8\*, Genís Parra5\*, Kymberlie H. Pepin1, Jane Peterson<sup>20</sup>, Pavel Pevzner<sup>41</sup>\*, Robert Plumb<sup>4</sup>, Craig S. Pohl<sup>1</sup>, Alex Poliakov<sup>13</sup>\*, Tracy C. Ponce<sup>1</sup>, Chris P. Ponting<sup>23</sup>\*, Simon Potter<sup>4</sup>\*, Michael Quail<sup>4</sup>, Alexandre Reymond<sup>9</sup>\*, Bruce A. Roe<sup>33</sup>, Krishna M. Roskin<sup>10</sup>\*, Edward M. Rubin<sup>13</sup>, Alistair G. Rust<sup>3</sup>, Ralph Santos<sup>2</sup>, Victor Sapojnikov7\*, Brian Schultz1, Jörg Schultz42\*, Matthias S. Schwartz10\*, Scott Schwartz27\*, Carol Scott4, Steven Seaman2, Steve Searle4\*, Ted Sharpe2, Andrew Sheridan2, Ratna Shownkeen4, Sarah Sims4, Jonathan B. Singer2\*, Guy Slater3\*, Arian Smit29\*, Douglas R. Smith<sup>43</sup>, Brian Spencer<sup>2</sup>, Arne Stabenau<sup>3</sup>\*, Nicole Stange-Thomann<sup>2</sup>, Charles Sugnet<sup>10</sup>\*, Mikita Suyama<sup>11</sup>\*, Glenn Tesler<sup>41</sup>\*, Johanna Thompson<sup>1</sup>, David Torrents<sup>11</sup>\*, Evanne Trevaskis<sup>1</sup>, John Tromp<sup>44</sup>\*, Catherine Ucla<sup>9</sup>\*, Abel Ureta-Vidal<sup>3</sup>, Jade P. Vinson<sup>2</sup>\*, Andrew C. von Niederhausern<sup>24</sup>, Claire M. Wade<sup>2\*</sup>, Melanie Wall<sup>4</sup>, Ryan J. Weber<sup>10\*</sup>, Robert B. Weiss<sup>24</sup>, Michael C. Wendl<sup>1</sup>, Anthony P. West<sup>4</sup>, Kris Wetterstrand<sup>20</sup>, Raymond Wheeler<sup>18</sup>\*, Simon Whelan<sup>3</sup>\*, Jamey Wierzbowski<sup>2</sup>, David Willey<sup>4</sup>, Sophie Williams<sup>4</sup>, Richard K. Wilson<sup>1</sup>, Eitan Winter<sup>23</sup>\*, Kim C. Worley<sup>45</sup>\*, Dudley Wyman<sup>2</sup>, Shan Yang<sup>31</sup>, Shiaw-Pyng Yang<sup>1</sup>\*, Evgeny M. Zdobnov<sup>11</sup>\*, Michael C. Zody<sup>2</sup>\*

### counting Vs. opening up names



# multiple authorship

# **Drosophila Muller F Elements Maintain a Distinct**Set of Genomic Properties Over 40 Million Years of Evolution

Wilson Leung and Participating Students and Faculty of the Genomics Education Partnership<sup>1</sup>

**1014** names

**ABSTRACT** The Muller F element (4.2 Mb, ~80 protein-coding genes) is an unusual autosome of *Drosophila melanogaster*, it is mostly heterochromatic with a low recombination rate. To investigate how these properties impact the evolution of repeats and genes, we manually improved the sequence and annotated the genes on the *D. erecta, D. mojavensis*, and *D. grimshawi* F elements and euchromatic domains from the Muller D element. We find that F elements have greater transposon density (25–50%) than euchromatic reference regions (3–11%). Among the F elements, *D. grimshawi* has the lowest transposon density (particularly DINE-1: 2% vs. 11–27%). F element genes have larger coding spans, more coding exons, larger introns, and lower codon bias. Comparison of the Effective Number of Codons with the Codon Adaptation Index shows that, in contrast to the other species, codon bias in *D. grimshawi* F element genes can be attributed primarily to selection instead of mutational biases, suggesting that density and types of transposons affect the degree of local heterochromatin formation. F element genes have lower estimated DNA melting temperatures than D element genes, potentially facilitating transcription through heterochromatin. Most F element genes (~90%) have remained on that element, but the F element has smaller syntenic blocks than genome averages (3.4–3.6 vs. 8.4–8.8 genes per block), indicating greater rates of inversion despite lower rates of recombination. Overall, the F element has maintained characteristics that are distinct from other autosomes in the *Drosophila* lineage, illuminating the constraints imposed by a heterochromatic milieu.

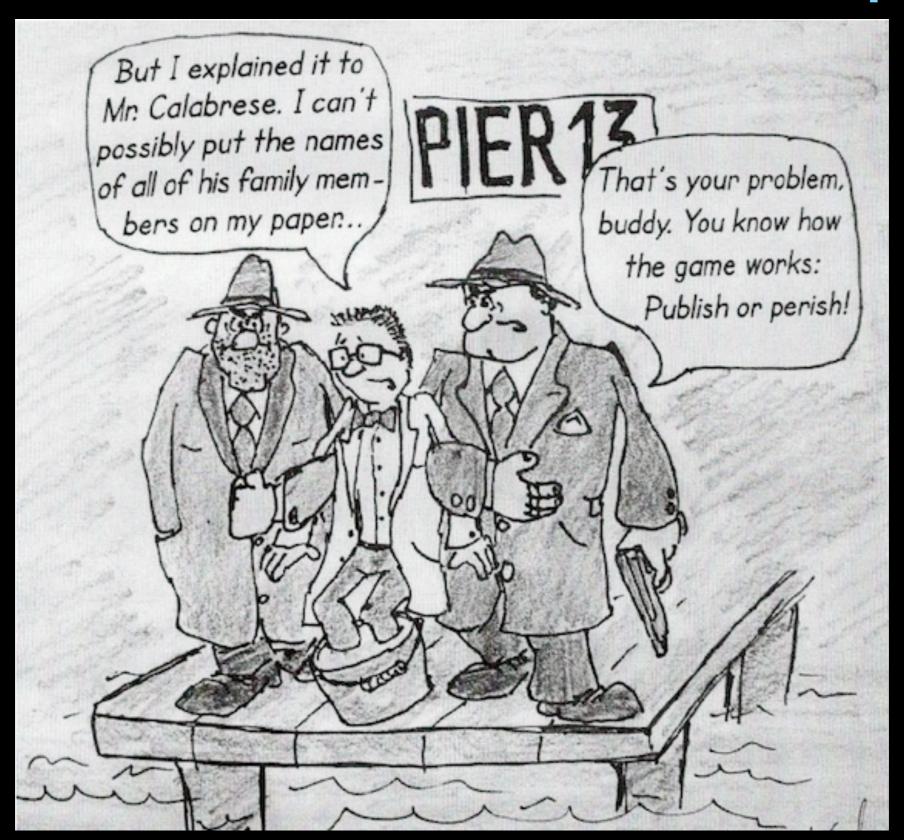
### name ordering

Name, Name, Name, Name, Name, Name

Name, Name, Name, Name, Name, Name, Name

Name, Name, Name, Name, Name, Name

## categories of authorship



# guest authorship

Name, Name, Name, Name

disagree/credit

unaware

# gift authorship

Name, Name, Name, Name

fictitious

# ghost authorship



**Essay** 

# Ghost Management: How Much of the Medical Literature Is Shaped Behind the Scenes by the Pharmaceutical Industry?

Sergio Sismondo

"What is the purpose of publications?...[The] purpose of data is to support, directly or indirectly, the marketing of our product." [1]

#### From Ghost Writing to Ghost Management

There are many reports of medical journal articles being researched and written by or on behalf of pharmaceutical companies, and then published under the name of academics who had played little role earlier in the research and writing process [2–14]. In extreme cases, drug companies pay for trials by contract research organizations (CROs), analyze

agents control or shape multiple steps in the research, analysis, writing, and publication of articles. Such articles are "ghostly" because signs of their actual production are largely invisible academic authors whose names appear at the tops of ghost-managed articles give corporate research a veneer of independence and credibility. They are "managed" because those companies shape the eventual message conveyed by the article or by a suite of articles. As discussed below, a substantial percentage of medical journal articles (in addition to meeting presentations and other forms of publication, which are not the focus here) are ghost

exerts influence at multiple stages of research, writing, and publication, it will shape the resulting article. In turn, bias affects medical opinion and practice, and ultimately, patients.

#### How Common Is Ghost Management?

Because ghost management is hidden, we cannot tell how common it is from published exposés. Current practices in the medical sciences legitimately allow people to serve as authors on the basis of narrow contributions. Therefore many near-honorary authors find little reason to feel uncomfortable with their roles. Fully honorary authors may

# imagining an alternative (1996-2001)



intensionsidistitussions

stræmspærenkshops

"authorshitability force"

## experimenting contributorship

#### pioneers journals

| The Lancet              | 1997 |
|-------------------------|------|
| British Medical Journal | 1997 |

#### experiments journals

| Journal American Medical Association | 1997>2001 |
|--------------------------------------|-----------|
| American Journal of Public Health    | 1997>1998 |
| Radiology                            | 1998      |
| Canadian Medical Association Journal | 1998>2000 |
| Annals of Internal Medicine          | 1999>2000 |

• • •

| Nature  | 1997>1999 |
|---------|-----------|
| Science | 1997>2000 |

### making contributions visible

THE LANCET • Vol 357 • April 21, 2001

#### Whole genome sequencing of meticillin-resistant Staphylococcus aureus

Makoto Kuroda, Toshiko Ohta, Ikuo Uchiyama, Tadashi Baba, Harumi Yuzawa, Ichizo Kobayashi, Longzhu Cui, Akio Oguchi, Ken-ichi Aoki, Yoshimi Nagai, JianQi Lian, Teruyo Ito, Mutsumi Kanamori, Hiroyuki Matsumaru, Atsushi Maruyama, Hiroyuki Murakami, Akira Hosoyama, Yoko Mizutani-Ui, Noriko K Takahashi, Toshihiko Sawano, Ryu-ichi Inoue, Chikara Kaito, Kazuhisa Sekimizu, Hideki Hirakawa, Satoru Kuhara, Susumu Goto, Junko Yabuzaki, Minoru Kanehisa, Atsushi Yamashita, Kenshiro Oshima, Keiko Furuya, Chie Yoshino, Tadayoshi Shiba, Masahira Hattori, Naotake Ogasawara, Hideo Hayashi, Keiichi Hiramatsu

### materializing contributions

#### ANNALS OF INTERNAL MEDICINE authors' form

#### Manuscript Title\_

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- Financial interests, direct or indirect, that exist or may be perceived to exist for individual authors in connection with the content of this paper have been disclosed to *Annals* in the cover letter. Sources of outside support of the project are named in the cover letter, and the role of funding organizations, if any, in the conduct of the study is described in the Methods section of the manuscript.

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Corresponding Author Signature (needed only on corresponding author's form)

#### **Contribution Codes**

- a Conception and design
- b Analysis and interpretation of the data
- c Drafting of the article
- d Critical revision of the article for important intellectual content
- e Final approval of the article
- f Provision of study materials or patients
- g Statistical expertise
- h Obtaining of funding
- i Administrative, technical, or logistic support
- j Collection and assembly of data

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|--|-------------|--------------|
| Institution Street address   |             |              |
| institution ouece audies   | City        | State ZIP co |
| Phone number Fax number  |             | (f 1 )       |
| 1) 2)<br>Other contributions   | 3)          |              |
| Please check all of the following boxes that apply to you:  ☐ Author responsible for galley proofs ☐ Author to receive reprint requests ☐ Corresponding author  Acknowledgment  I attest that all individuals who contributed to the manuscript have been appropriately acknowledged, and all Acknowledgment section and have agreed in writing to be named. |             |              |

#### codified contributions

#### handwriting signature

### variations

| journals                    | contributions<br>(N) | list of contributions |
|-----------------------------|----------------------|-----------------------|
| Annals of Internal Medicine | 10                   | list                  |
| British Medical Journal     | undefined            | free space            |
| JAMA                        | 11                   | items hierarchy       |
| Nature                      | undefined            | list                  |
| Science                     | 5                    | items weight          |
| Physical Therapy            | 11                   | list                  |
| Radiology                   | 14                   | list                  |
| The Lancet                  | undefined            | free space            |

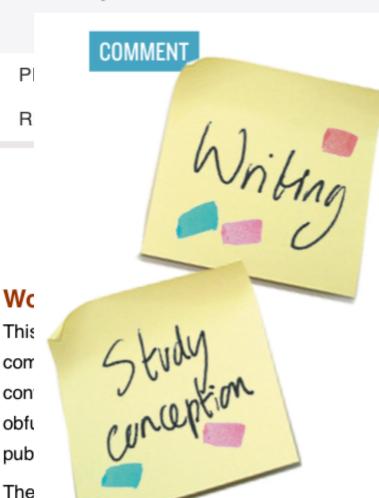
# ongoing experiments...

International Workshop on Contributorship and Scholarly Attribution

Hosted by IQSS at Harvard on Wednesday May 16, 2012







# Credit where credit is due

Liz Allen, Amy Brand, Jo Scott,
Micah Altman and Marjorie Hlava are
trialling digital taxonomies to help
researchers to identify their contributions
to collaborative projects.

attribution and associated credit. Many publishers now require contribution disclosures upon article submission - some in structured form, some in free-text form - at the same time that funders are developing more scientifically rigorous ways to track the outputs and impact of their research investments.



a 10min survey, thanks t.co/3UE57szc 2 years 10 months ago.

**me**trus

scholarlyattrib We're collecting data on attitudes towards scholalry attribution

### a standardized taxonomy

Learned Publishing, 28: 151-155 doi:10.1087/20150211

#### **INDUSTRY UPDATE**

Beyond authorship:

attribution,

contribution,

collaboration, and

credit

Amy Brand Digital Science
Liz Allen Wellcome Trust
Micah Altman MIT Libraries
Marjorie Hlava Access Innovations
Jo Scott Wellcome Trust

| Term                       | Definition  |
|----------------------------|---|
| Conceptualization          | Ideas; formulation or evolution of overarching research goals and aims  |
| Methodology                | Development or design of methodology; creation of models  |
| Software                   | Programming, software development; designing computer programs; implementation of the computer code and supporting algorithms; testing of existing code components  |
| Validation                 | Verification, whether as a part of the activity or separate, of the overall replication/<br>reproducibility of results/experiments and other research outputs   |
| Formal Analysis            | Application of statistical, mathematical, computational, or other formal techniques to analyze or synthesize study data   |
| Investigation              | Conducting a research and investigation process, specifically performing the experiments, or data/evidence collection   |
| Resources                  | Provision of study materials, reagents, materials, patients, laboratory samples, animals, instrumentation, computing resources, or other analysis tools   |
| Data curation              | Management activities to annotate (produce metadata), scrub data and maintain research data (including software code, where it is necessary for interpreting the data itself) for initial use and later reuse |
| Writing – Original Draft   | Preparation, creation and/or presentation of the published work, specifically writing the initial draft (including substantive translation)   |
| Writing – Review & Editing | Preparation, creation and/or presentation of the published work by those from the original research group, specifically critical review, commentary or revision – including pre- or post-publication stages   |
| Visualization              | Preparation, creation and/or presentation of the published work, specifically visualization/data presentation   |
| Supervision                | Oversight and leadership responsibility for the research activity planning and execution, including mentorship external to the core team  |
| Project Administration     | Management and coordination responsibility for the research activity planning and execution   |
| Funding acquisition        | Acquisition of the financial support for the project leading to this publication.   |

"What we need is <u>a controlled vocabulary of contributor roles</u> and mechanisms for capturing contribution tags within the scholarly metadata ecosystem." (Brand et al. 2015: 154)

### contribution badges (1)

# BADGES OF DISTINCTION

A standardized system of digital badges that flag each author's contributions to a research paper aims to enhance collaboration and assign fair credit.



## contribution badges (2)



Keith Bradnam Steve Goldstein Ian Korf

Jason Howard



Keith Bradnam Ian Korf Dominique Lavenier



Keith Bradnam Steve Goldstein Ian Korf

Cristian Del Fabbro

> Simone Scalabrin



Keith Bradnam Sébastien Boisvert

Steve Goldstein Ian Korf

Cristian Del Fabbro

Simone Scalabrin

Ruibang Luo

Vieira, BM

Shaun Jackman

Rayan Chikhi Pina-Martins



Keith Bradnam Ian Korf



Keith Bradnam Steve Goldstein

Jason Howard



Keith Bradnam

Dominique Lavenier



Keith Bradnam Steve

Goldstein

Cristian De Fabbro Simone

Scalabrin Matthew MacManes



Keith Bradnam Sébastien Boisvert

Steve Goldstein

Cristian Del Fabbro

Simone Scalabrin

Ruibang Luo

Matthew MacManes

Vieira, BM

Shaun Jackman

Pina-Martins

Rayan Chikhi



Keith Bradnam



Keith Bradnam Jan Korf

Ruibang Luo

Shaun Jackman Rayan Chikhi Jason Howard



Keith Bradnam Ian Korf



Keith Bradnam Steve

Goldstein lan Korf

Vieira, BM

Pina-Martins Dominique Lavenier



Keith Bradnam

Steve

Ian Korf



Goldstein



Keith Bradnam

Boisvert Ian Korf

Simone

Sébastien

Scalabrin Ruibang Luo

Shaun Jackman Jacques

Corbeil Rayan Chikhi



Keith Bradnam

Ruibang Luo

Matthew MacManes

Shaun Jackman Rayan Chikhi

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Sébastien Boisvert

Simone

Keith Bradnam

Scalabrin

Ruibang Luo Matthew

MacManes Shaun Jackman

Jacques Corbeil

Rayan Chikhi



Keith Bradnam Ian Korf



Ian Korf



lan Korf





Guojie Zhang

Jason Howard











Guojie Zhang Jason Howard

# ongoing debates...

# Let's simply scrap authorship and move to contributorship

Richard Smith chair

Patients Know Best, London SW4 0LD, Uk

Why do science journals stick to autho wholesale to contributorship?<sup>1</sup>

These days science is rarely undertake research is conducted by teams, often with very different skills. A binary divided non-authors is bound to be arbitrary as a recent systematic review shows. It no research papers like films rather than sor contributorship rather than authors?

Rennie and colleagues identified the s authorship in 1997 and made a convin contributorship, but 15 years later we ar with authorship.<sup>3</sup> Why can't journals t authorship forever?

As the Cassandra of scientific publishi editorial saying, "Editors are unlikely resources to validate all authorship clainterest." My bet is that *Neurology*, the of the editorial edits, makes about a 3:

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PLOS MEDICINE

#### Perspective

### How Industry Uses the ICMJE Guidelines to Manipulate Authorship—And How They Should Be Revised

#### Alastair Matheson\*

Independent Consultant, London, United Kingdom and Toronto, Canada

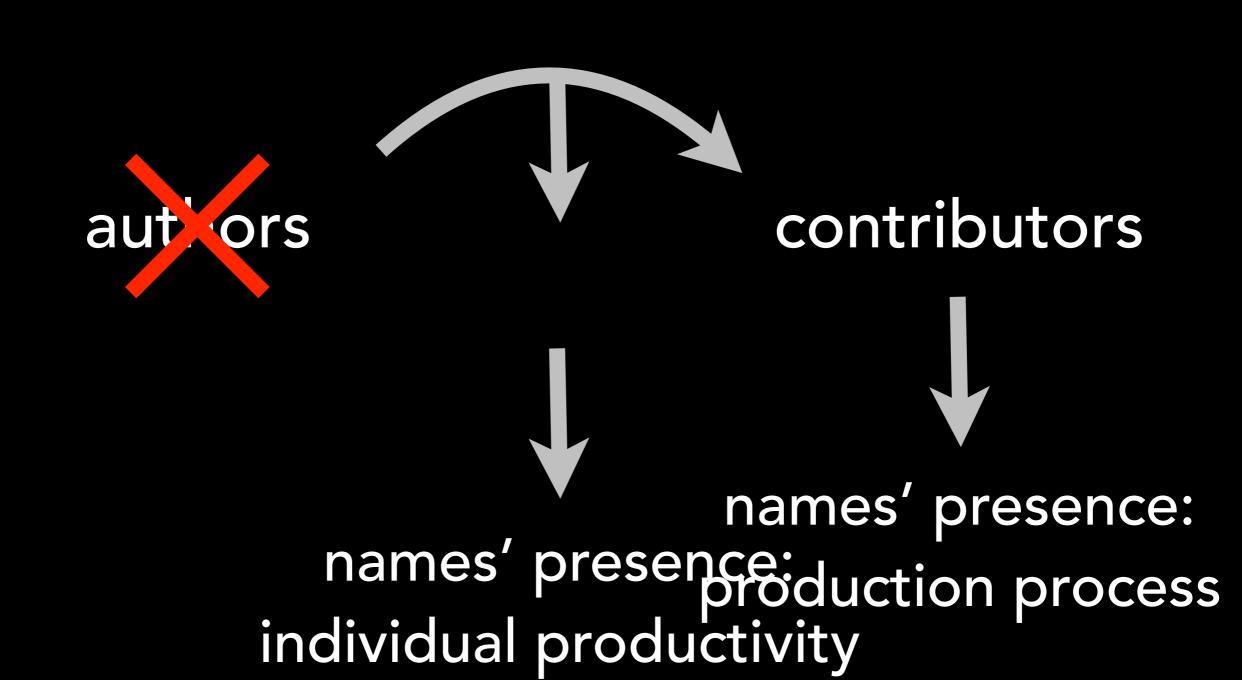
#### Introduction

Scientists and clinicians need to know the authorship, author interests, and origination of the articles they read to judge them appropriately. Since 1985, the International Committee of Medical Journal Editors (ICMJE) has provided evolving guidance on how authorship should be managed in the complex setting of modern biomedical science [1,2], to the benefit of the published literature. Issues such as accountability, fraud, conflicts of interest, trial registration, and access to data have been considered by this voluntary, selffunded, closed-membership group of select general medical journal editors (http:// www.icmje.org/) [3-5]. However, certain industry practices, including publications planning, ghostwriting, and guest authorpublications were originated by academics. "Medical communications" agencies bear joint responsibility for these practices, and for the systematic masking of corporate origination within the medical literature. Industry claims its activities are ethical, but this is disingenuous and rests on two subtle strategies: first, the use of weak definitions or convenient understandings of concepts such as accountability, responsibility, authority, intellectual contribution, contributorship, guest authorship, and ghostwriting; and second, the exploitation of flaws in current guidelines, particularly those of the ICMJE.

#### The Authorship-Contributorship Distinction Exploited

exaggeration or understatement of authorial contributions. This practice is difficult to trace, since it involves subjective judgments, and the parties involved—companies, writers, and KOLs —all have incentives to allow their true levels of contribution to be aggrandized or downplayed. These practices gain succor from weak definitions of ghostwriting and ghost authorship, which the World Association of Medical Editors (WAME) and Council of Science Editors (CSE) deem not to have occurred if a writer is "mentioned in the manuscript" (WAME) or receives an "appropriate" place "in the author byline or Acknowledgments" (CSE) [14,15]. Industry and medical writers' organizations are thus able publicly to condemn ghostwriting using comparable framings [16–18], while the misattribution of authorship remains

### two versions



## including reviewers?

# Definition of authorship may be changed

BMJ 2013;346:f166 doi: 10.1136/bmj.f166 (Published 16 January 2013)

Page 1 of 2

#### **VIEWS & REVIEWS**

**PERSONAL VIEW** 

# Peer reviewers can meet journals' criteria for authorship

Thomas C Erren professor <sup>1</sup>, Michael Erren senior researcher<sup>2</sup>, David M Shaw senior researcher<sup>3</sup>

<sup>1</sup>University Hospital of Cologne, Cologne, Germany; <sup>2</sup>Center for Laboratory Medicine, University Hospital Münster, Westphalian Wilhelms-University of Münster, Germany; <sup>3</sup>Institute for Biomedical Ethics, University of Basel, Switzerland

(Erren & Erren 2013)

# Publons as reviewer recognition

Select an award category to view the top reviewers in each of the ESI research fields.

Verified reviewer

14425 Reviewer Merit

4811 reviews ▼

AWARDS

**REVIEWS** 

REVIEWS (LAST 12 MONTHS)

REVIEWS (AVERAGE PER YEAR)

4811

Median: 3 98th percentile 589

Median: 1 98th percentile QQ Median: 2

98th percentile

MERIT

OPENNESS

**REVIEW TO PUBLICATION RATIO** 

14422

Median: 9 98th percentile 0.0%

Median: 0.0% 96th percentile

16.8:1

Median: 1:1

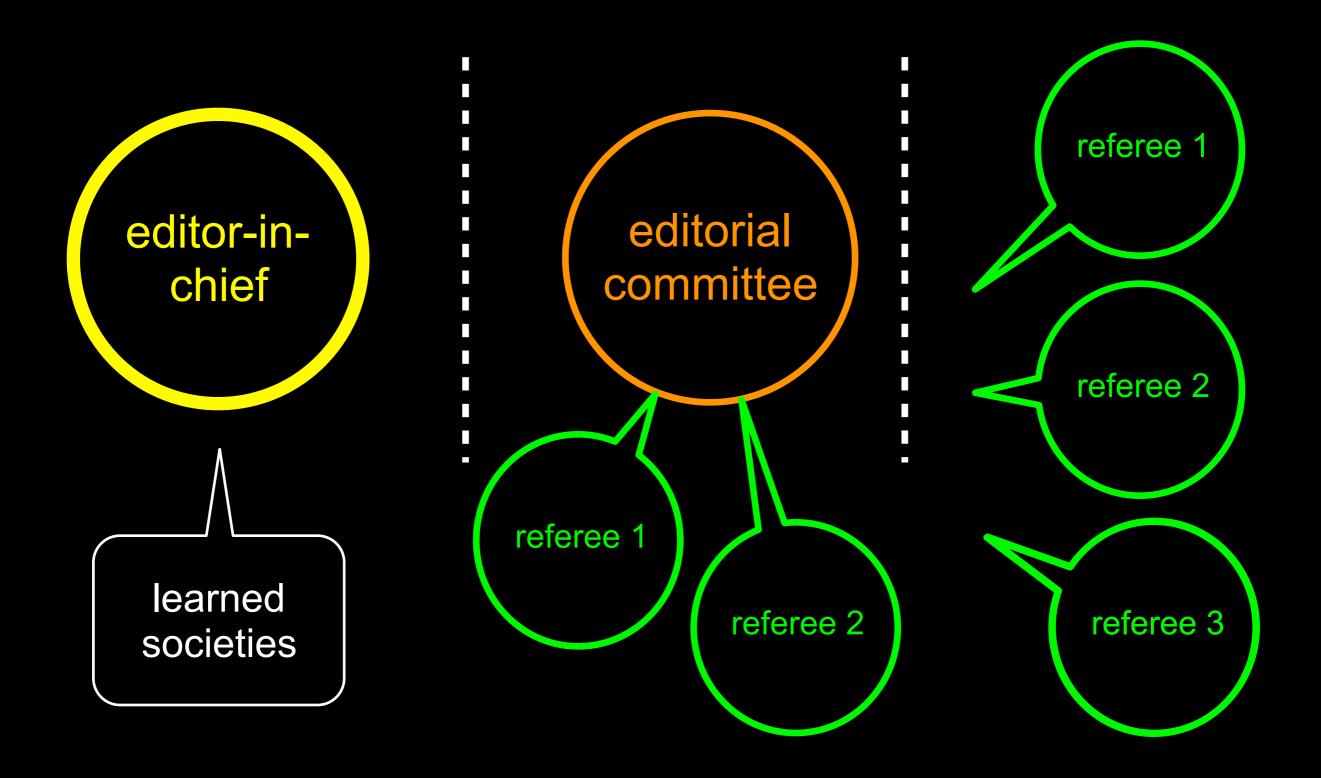
#### **IDENTIFIERS**



publons.com/a/292799/

| 3rd | MR | Mohd Shahril Abdul Rahman | Universiti Teknologi Malaysia | Malaysia | 60 |
|-----|----|---------------------------|-------------------------------|----------|----|
| 4th |    | Stanislav Ivanov          | Zangador                      | Bulgaria | 49 |
| 4th | AD | Alec Dinnin               | University of Florida         | USA      | 49 |

# who are the judges?



### embracing or rejecting reviewers



### Einstein Versus the Physical Review

A great scientist can benefit from peer review, even while refusing to have anything to do with it.

Dear Sir,

We (Mr. Rosen and I) had sent you our manuscript for publication and had not authorized you to show it to specialists before it is printed. I see no reason to address the—in any case erroneous—comments of your anonymous expert. On the basis of this incident I prefer to publish the paper elsewhere.

Respectfully,

P.S. Mr. Rosen, who has left for the Soviet Union, has authorized me to represent him in this matter.

Kennefick D., 2005, "Einstein Versus The Physical Review", Physics Today, vol. 58(9): 43-48

### anonymized authors (1)

#### NOTICE TO CONTRIBUTORS

#### Preparation of Articles

As an experiment in the evaluation of articles, all papers will now be circulated to the assistant editors and judged without name or institutional identification. It will be helpful if contributors to the *Review* will attach a cover page giving the title, author's name and institutional affiliation. The first page of the paper should bear the title as a means of identification, but not name and institution.

American Sociological Review, 1955, vol. 20(3): 341.

Whether, as Professor Cahnman believes, papers of "famous colleagues" always will be "accepted on sight" I don't know, and frankly I don't mind if they are. I think a paper by a prominent author should be given priority unless the editor has serious doubts about its quality.

Lowry R.P., 1967. "Communications to the editors" The American Sociologist, 2(4): 220.

more, we frequently forget (despite that fact that we are sociologists) that a man's name is important (whether it is widely known or not). It can identify his biases and perspectives (sources of professional training, previous work, occupational experiences, etc.) and, therefore, can be used as a basis for judging the reliability and relevance of what he says. This is true for both authors and reviewers. It is important to know whether comments are coming from a functionalist, a Durkheimian, a Weberian, a Marxist, a professional researcher, a theoretician, a systems analyst, a positivist, and the like.

### anonymized authors (2)

Moody L. Coffman suggests that articles be sent to reviewers anonymously. This is an excellent idea and has been proposed many times. Unfortunately it is impossible. Removing the name and affiliation of the author does not make a manuscript anonymous. A competent reviewer can tell at a glance where the work was done and by whom or under whose guidance. One must also remove all references to previous work by the same author, all descriptions of special equipment and other significant parts of the paper. Nothing worth judging or publishing would be left.

> S. A. Goudsmit Managing Editor, American Physical Society

Despite removal of author and institutional affiliation from a manuscript, no phenomenal deductive powers are required, for example, to guess the authorship of an article that begins, "Earlier work (Coffman, 1962, Coffman and Moody, 1965) has shown ..." The Journal of Speech and Hearing Research, for which I occasionally review, indeed experimented with this scheme last year but quickly abandoned it.

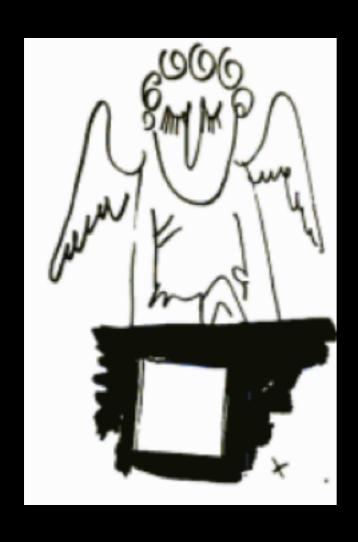
W. Dixon Ward University of Minnesota

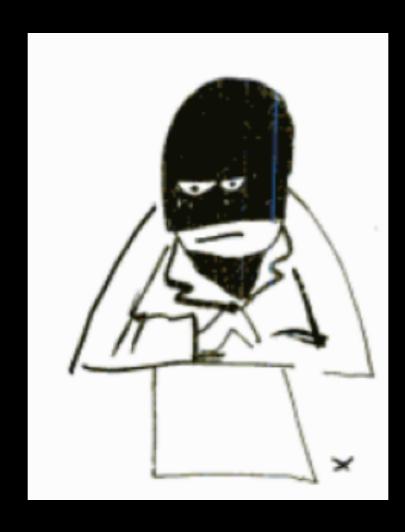
### anonymous reviewers: abusers

#### Rights, wrongs and referees

Anonymity in the refereeing of scientific papers is difficult to justify. Greater openness would have many merits—not least in curbing the abuses that are encouraged by the present system

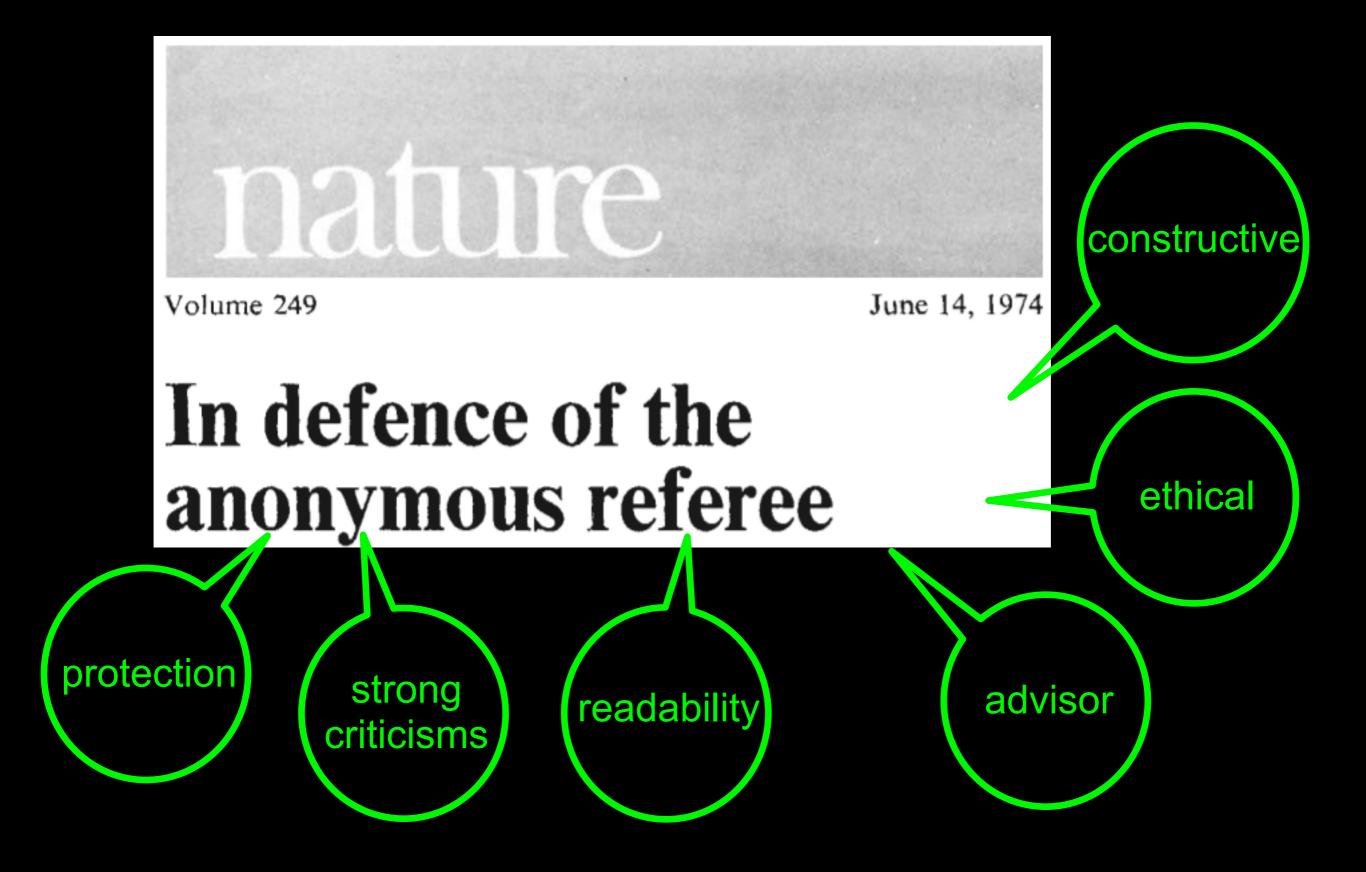
Jones R., 1974, New Scientist, vol. 61(890): 758-759.







## anonymous reviewers: guardians



# objectivity Vs. publicity

|                       | Reviewers                 |                               |  |
|-----------------------|---------------------------|-------------------------------|--|
|                       | anonymized                | identified                    |  |
| Authors               |                           |                               |  |
| anonymized identified | double blind single blind | blind review open peer review |  |

### F1000 Research



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SYSTEMATIC REVIEW

What is open peer review? A systematic review [version 1; referees: 1 approved, 3 approved with reservations]



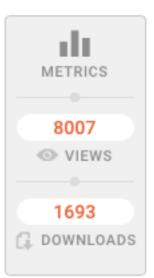




This article is included in the Science Policy Research gateway.

#### **Abstract**

Background: "Open peer review" (OPR), despite being a major pillar of Open Science, has neither a standardized definition nor an agreed schema of its features and implementations. The literature reflects this, with a myriad of overlapping and often contradictory definitions. While the term is used by some to refer to peer review where the identities of both author and reviewer are disclosed to each other, for others it signifies systems where reviewer reports are published alongside articles. For others it signifies both of these conditions, and for yet others it describes systems where not only "invited experts" are able to comment. For still others, it includes a variety of combinations of these and other novel methods.





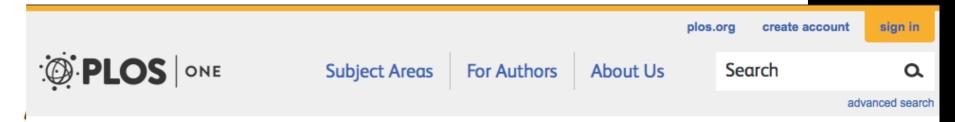
### article-level metrics

### altmetrics

#### altmetrics:

No one can read everything. literature, but the narrow, trad of new, online scholarly tools the broad, rapid impact of sch tools and research based on all

As the volume of academic lit most relevant and significant s main filters for importance are



#### Article-Level Metrics Information

This page contains information about each of the article-level metrics that we track. Summary tables of 'average usage' are also available, as well as a page containing a technical description of our usage data in particular; and a summary Excel file containing the full data set.

#### Background

At PLOS, we believe that research articles should primarily be judged on their individual merits, rather than on the basis of the journal in which they were published. In March 2009, we inaugurated a program to provide "article-level metrics" on every article across all journals. This suite of relevant indicators of impact helps users determine the value of an article to them and to their scientific community. The regularly updated data fall into the following categories:

- Viewed
- Cited
- Saved
- Discussed
- Recommended

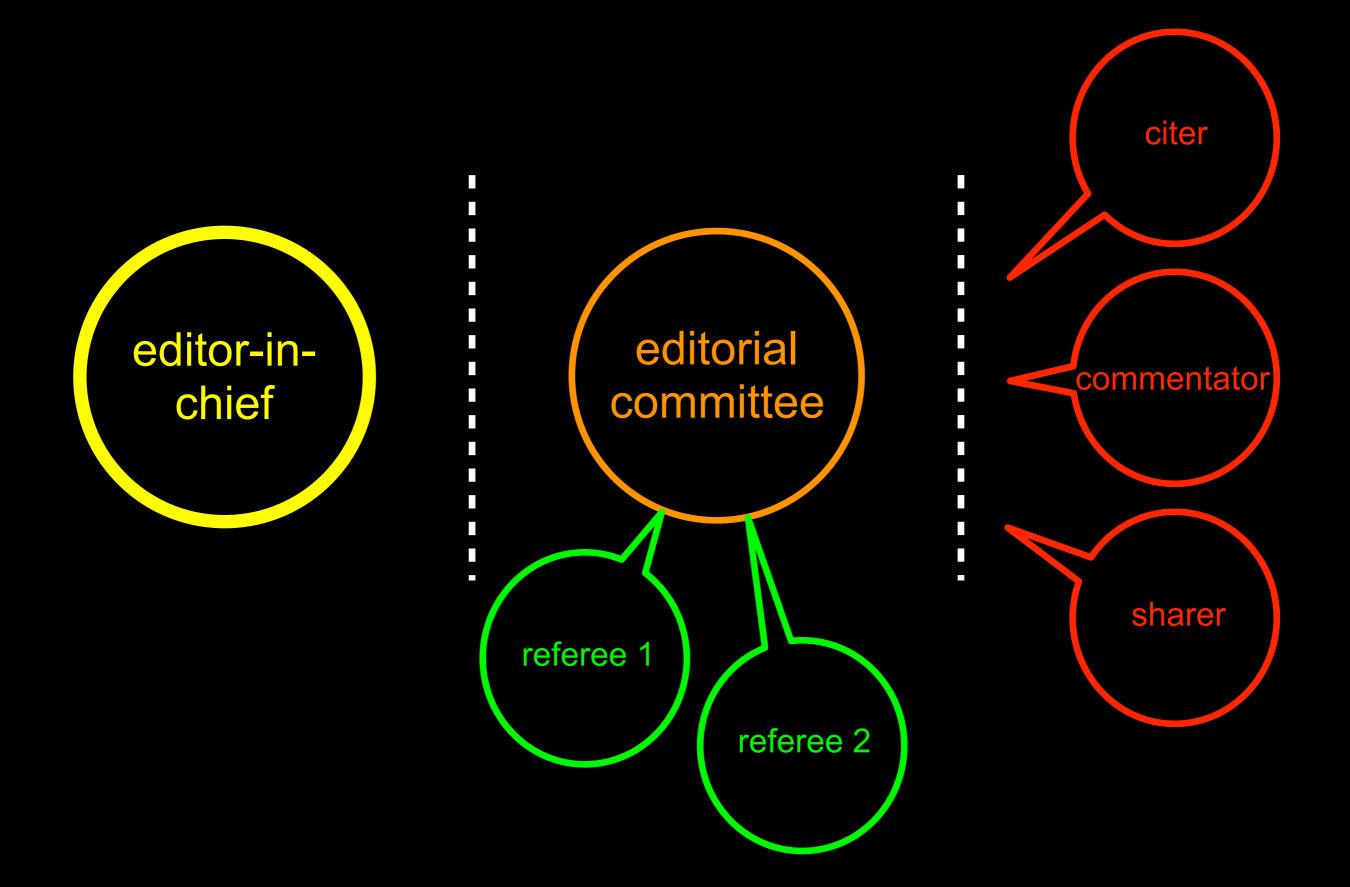
They are described further in the sections below.

Article-Level Metrics (ALMs) leverage the acceleration of research communication made possible by the networked landscape of researcher tools and services. Also by incorporating the manifold ways in which research is disseminated, these article impact indicators are made available rapidly after publication and are continually updated. It is important to note that the behavior of metrics varies by time (and needless to say by field and research area). For example, some metrics tend to accrue slowly over time; some are quicker to do so. Newly published articles will typically show lower levels of activity (for any given metric) for the initial weeks or months after publication than older articles. Further discussion of known limitations to individual metrics is detailed in the section below.

PLOS is committed to the open provision of these metrics; we encourage researchers to investigate and analyze them in new and interesting ways. Therefore, the entire dataset of all ALMs are made available as a summary Excel file. This file will be updated periodically. We also provide an API and accompanying documentation for the automatic retrieval of the full set of ALM data.

#### **Article-Level Metrics Suite**

# readers as judging instances



### an ecology of names

Article

Classifying,
Constructing, and
Identifying Life:
Standards as
Transformations of
"The Biological"

Science, Technology, & Human Values

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Adrian Mackenzie<sup>1</sup>, Claire Waterton<sup>1</sup>, Rebecca Ellis<sup>5</sup>, Emma K. Frow<sup>2</sup>, Ruth McNally<sup>3</sup>, Lawrence Busch<sup>4</sup>, and Brian Wynne<sup>1</sup>

#### **Abstract**

Recent accounts of "the biological" emphasize its thoroughgoing transformation. Accounts of biomedicalization, biotechnology, biopower, biocapital, and bioeconomy tend to agree that twentieth- and twenty-first-century life sciences transform the object of biology, the biological. Amidst so much transformation, we explore attempts to stabilize the biological through standards. We ask: how do standards handle the biological in transformation? Based on ethnographic research, the article discusses three contemporary

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specificity; in their own plasticity and transformability, they are vectors of tangled values, beliefs and desires concerning speed, control, and economy. Standards are one place, in short, where we see that there is no life itself apart from forms of life.

The different standards display degrees of awareness of the impossibility of disentangling forms of life and life forms. In what biologists, bioinformaticians, engineers, and others hold onto and what they let go in biological standardization, we glimpse the difficulties that contemporary biology experiences in coming to grips with its own shifting performances of the real, in the competitive conditions which it has to negotiate for survival. Each of them—BOLI, BioBricks, and PSI—names a debilitating diversity that has reigned for too long in identifying, classifying, and constructing life forms. But in negotiating trade-offs between ideal standards and do-ability, in finding a way of pledging material arrangements to a sought-after good, there are risks and responsibilities involved in creating standards. Perhaps, standards that stand at some distance from life forms—for example, PSI—can actually accept this responsibility most openly. Standards that heavily invest life form specificity—BOLI and Biobricks—encrypt this risk in plans focused on tightly bound attributes of the living.

#### **Declaration of Conflicting Interests**

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#### Notes

 The case studies presented here arise from three long-term studies (2006-2012) in which the authors have been carrying out ethnographic observations and interviews, participating in committees, and attending conferences and meetings in USA, Canada, UK, and Europe.

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### the presence of names

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production

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Research

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Laurel L. Haak, PhD, is the Executive Director of ORCID, an international and interdisciplinary non-profit organization dedicated to providing the technical infrastructure to generate and maintain unique and persistent identifiers for researchers and scholars.

#### **Published works**



Are race, ethnicity, and medical school affiliation associated with NIH R01 type 1 award probability for physician investigators?

10.1097/ACM.0b013e31826d726



ORCID Status and Plans: May 2014 ORCID Outreach Meeting

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#### **David Pontille**

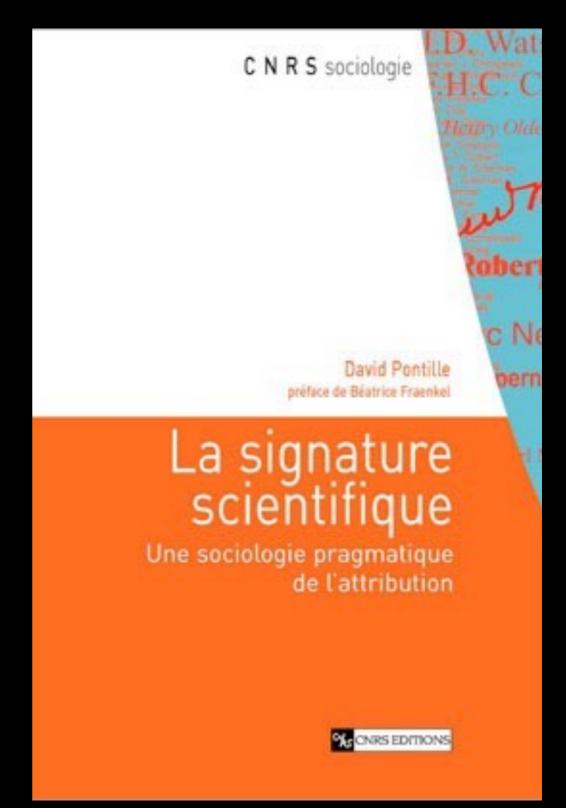
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### our work

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